



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tour et al.

Serial No.: 10/632,419

Filing Date: August 1, 2003

Art Unit: 1713

Title: CARBON NANOTUBES DERIVATIZED WITH DIAZONIUM SPECIES

Mail Stop: Amendment  
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Signature: Jeanne E. Dunn

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Date: January 29, 2004

**SECOND PRELIMINARY AMENDMENT**

Dear Sir:

This Second Preliminary Amendment is being filed simply to revise the specification to include the Serial Number of the parent application, which serial number was unknown at the time the instant application was filed.

**AMENDMENT**

Prior to examination on the merits, please amend the application as follows:

Amendment to the Specification is reflected on page 2 of this paper.

Remarks begin on page 3 of this paper.



PTO/SR/21 (08-03)  
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|--|------------------------|----------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number     | 10/632,419     |
|  | Filing Date            | August 1, 2003 |
|  | First Named Inventor   | James M. Tour  |
|  | Art Unit               | 1713           |
|  | Examiner Name          | Unknown        |
| Total Number of Pages in This Submission   | Attorney Docket Number | 11321-P022WUD1 |

| ENCLOSURES (Check all that apply)  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Reply 2nd Prelim.<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Request for Corrected Filing Receipt, w/copy of Filing Receipt and Supplemental ADS; return postcard |
| Remarks  |  |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Ross Spencer Garsson<br>Winstead Secrest & Minick P.C. |
| Signature                                  |  |
| Date                                       |  |

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| Typed or printed name   | JEANNE E. DUW    |
| Signature   |                  |
| Date  | January 29, 2004 |

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